INDEX NO. E2021008255

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Control #: 202201180469 Index #: E2021008255

Date: 01/18/2022

Time: 11:57:55 AM FITZGERALD, EDWARD

The City of Rochester BAXTER, TODD

Total Fees Paid: \$0.00

Employee: ARC

State of New York

MONROE COUNTY CLERK'S OFFICE WARNING - THIS SHEET CONSTITUTES THE CLERKS ENDORSEMENT, REQUIRED BY SECTION 317-a(5) & SECTION 319 OF THE REAL PROPERTY LAW OF THE STATE OF NEW YORK. DO NOT DETACH OR REMOVE.

JAMIE ROMEO

MONROE COUNTY CLERK

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74122D 804 MONROE COUNTY CLERK 01/15/2022 01:48 PM INDEX #0 E20210082558255

ryscer doc. no. 1 ase 6:22-cv-06067-FPG Document 5-2 Filed 03/31/22 Page 2 of 36 Nyscer: 01/15/20

SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF MONROE

EDWARD FITZGERALD,

Plaintiff,

-against-

THE CITY OF ROCHESTER, a municipal entity, "JOHN DOE POLICE OFFICERS 1-200" (names and number of whom are unknown at present), PAUL ROMANO, COUNTY OF MONROE, TODD BAXTER, "RICHARD ROE SHERIFF'S DEPUTIES 1-200" (names and number of whom are unknown at present), and other unidentified members of the Rochester Police Department and Monroe County Sheriff's Office,

Defendants.

SUMMONS

Index No.:

The basis of venue is: Location of the incident

Plaintiff designates Monroe County as the place of trial.

To the above named Defendants:

You are hereby summoned to answer the complaint in this action, and to serve a copy of your answer, or, if the complaint is not served with this summons, to serve a notice of appearance on the Plaintiff's attorneys within twenty days after the service of this summons, exclusive of the day of service, where service is made by delivery upon you personally within the state, or, within 30 days after completion of service where service is made in any other manner. In case of your failure to appear or answer, judgment will be taken against you by default for the relief demanded in the complaint.

DATED: New York, New York January 14, 2022

Yours, etc.,

~//s//~

ROTH & ROTH, LLP. ELLIOT SHIELDS, ESQ. Attorney for Plaintiff 192 Lexington Ave, Suite 802 New York, New York 10016 (212) 245-1020

EASTON THOMPSON KASPEREK SHIFFRIN LLP Donald Thompson 16 West Main Street, Suite 243 Rochester, New York 14614

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Ph: (585) 423-8290

TO: **COUNTY OF MONROE** Monroe County Law Department 307 County Office Building 39 W. Main St. Rochester, NY 14614

PAUL ROMANO 185 Exchange Blvd. Rochester, NY 14614 202200082558255

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SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF MONROE

EDWARD FITZGERALD,

County Sheriff's Office,

Plaintiff,

-against-

INDEX NO.:

THE CITY OF ROCHESTER, a municipal entity, "JOHN DOE POLICE OFFICERS 1-200" (names and number of whom are unknown at present), PAUL ROMANO, COUNTY OF MONROE, TODD BAXTER, "RICHARD ROE SHERIFF'S DEPUTIES 1-200" (names and number of whom are unknown at present), and other unidentified members of the Rochester Police Department and Monroe

Defendants.

VERIFIED COMPLAINT [JURY TRIAL DEMANDED]

Plaintiff, by his attorneys, ROTH & ROTH, LLP and EASTON THOMPSON KASPAREK SHIFFRIN LLP, complaining of the defendants, respectfully allege as follows:

I. PARTIES

- 1. Plaintiff EDWARD FITZGERALD (he/him) is a resident of the City of Rochester, State of New York.
- 2. Defendant CITY OF ROCHESTER ("CITY") is a municipal entity created and authorized under the laws of the State of New York. It is authorized by law to maintain a police department, which acts as its agent in the area of law enforcement and for which it is ultimately responsible. Defendant CITY assumes the risks incidental to the maintenance of a police force and the employment of police officers as said risks attach to the public consumers of the services provided by the RPD.
- 3. Defendant CITY OF ROCHESTER ("CITY") was and is a municipal corporation duly organized and existing under and by virtue of the laws of the State of New York. Defendant CITY maintains the City of Rochester Police Department, a duly authorized police department,

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authorized to perform all functions of a police department. RPD acts as Defendant CITY's agent and Defendant CITY assumes the risks incidental to the maintenance of a police department and the employment of police officers.

- 4. PAUL ROMANO and "JOHN DOE" ROCHESTER POLICE DEPARTMENT OFFICERS 1–200 (the names and numbers of which are currently unknown), were, at all times relevant to this Complaint, Police Officers with the RPD. At all relevant times, these defendants were acting within the scope of their employment with the CITY and RPD and under color of state law. They are sued in their individual capacities. John Doe RPD Officers are referred to collectively as "the RPD officers.
- 5. Defendant COUNTY OF MONROE ("COUNTY") was and is a municipal corporation duly organized and existing under and by virtue of the laws of the State of New York. Defendant COUNTY maintains the Monroe County Sheriff's Office ("MCSO") and pays the salaries of the Monroe County Sheriff and MCSO deputies. MCSO acts as Defendant COUNTY'S agent and Defendant COUNTY assumes the risks incidental to the maintenance of the MCSO as the COUNTY's police department.
- 6. Defendant TODD BAXTER ("Sheriff Baxter" or "BAXTER") was, at all times relevant herein, the duly elected Sheriff of the County of Monroe. At all relevant times, Defendant BAXTER was acting within the scope of his employment and under color of state law. He is sued in his individual and official capacity.
- 7. "RICHARD ROE" MONROE COUNTY SHERIFF'S DEPUTIES 1–200 (the names and numbers of which are currently unknown), were, at all times relevant to this Complaint, Deputy Sheriffs with the Monroe County Sheriff's Office ("MCSO"). At all relevant times, these defendants were acting within the scope of their employment with the County and

under Sheriff BAXTER and acting under color of state law. They are sued in their individual capacities. They are referred to collectively as "the Sheriff's Deputies."

8. BAXTER is responsible for the training, supervision and discipline of the Defendant Sheriff's Deputies under state law.

III. JURISDICTION

- 9. This action falls within one or more of the exceptions as set forth in CPLR Section 1602, involving intentional actions, as well as the defendant, and/or defendants, having acted in reckless disregard for the safety of others, as well as having performed intentional acts.
- 10. Mr. FITZGERALD sustained damages in an amount in excess of the jurisdictional limits of all the lower Courts of the State of New York.
- 11. Mr. FITZGERALD filed timely Notices of Claim against the City and County in compliance with the Municipal Law § 50.
 - 12. The CITY and County waived a 50-h hearing for Mr. FITZGERALD.
- 13. More than thirty (30) days have elapsed since service of said Notice of Claim was filed and the City and County have failed to pay or adjust the claim.
- 14. This action was brought within a year and 90 days of the event that gives rise to Mr. FITZGERALD's causes of action under New York State law and Plaintiff has complied with all of the statutory prerequisites for bringing this action.

IV. STATEMENT OF FACTS

A. Facts Common to All Causes of Action

15. On March 23, 2020, Daniel Prude's family sought help from the Rochester Police Department ("RPD") as Daniel was suffering an acute mental health crisis. Tragically, that call for help ended with Daniel naked and handcuffed with his face covered by a "spit hood," as an

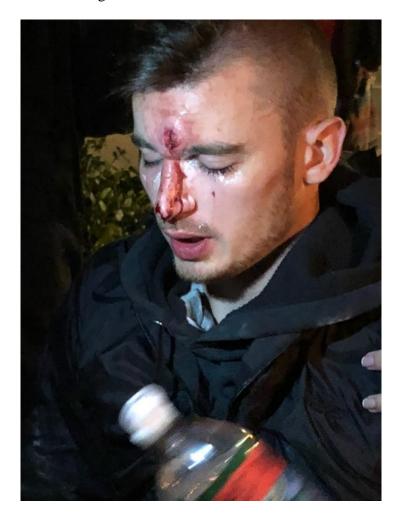
RPD officer pushed his head into the freezing asphalt for several minutes. RPD officers on the scene mocked Daniel and chatted with each other while he asphyxiated. Daniel was declared brain dead that night; he was taken off life support and died on March 30.

- 16. When the video of RPD Officers killing Daniel Prude was finally made public on September 2, 2020, it sparked nationwide outrage. In Rochester, thousands of people gathered to mourn the loss of Black lives, demand the CITY finally end its racist and brutal policing practices, and call for new visions of public safety that value Black lives.
- 17. On September 2-6, 2020, the defendants responded to peaceful protests with extreme violence—including the indiscriminate use of tear gas and pepper spray, 40 millimeter blunt-impact projectiles, thousands of pepper balls, flash-bang grenades and other supposedly "less-than-lethal" munitions.
- 18. On September 4, RPD officers and Sheriff's Deputies used the Court Street bridge to "kettle" protesters, including Plaintiff, spray them with tear gas, and attack them with pepper balls a scene tragically reminiscent of the 1965 "Bloody Sunday" attack on civil rights demonstrators on the Edmund Pettus Bridge in Selma, Alabama. Videos from that night show heavily armored phalanxes of police using pepper balls, 40mm kinetic bullets, tear gas, and batons to assault diverse groups of protesters outfitted only with umbrellas, cardboard boxes, and plastic children's sleds against the Defendants' military-grade arsenal but who nevertheless assembled to protest for racial justice and reformed policing.
- 19. RPD officers and/or Sheriff's Deputies first escorted peaceful protesters along Court Street from Martin Luther King Jr. Memorial Park towards the Public Safety Building ("PSB") and directed them onto the Court Street Bridge. But when protesters reached the other side, law enforcement stopped them with metal barricades.

- 20. Defendants' actions shut down the street to vehicular and pedestrian traffic.
- 21. Defendants' actions halted the freedom of movement of Plaintiff and other protesters and constituted a seizure within the meaning of the Fourth Amendment.
- 22. After hundreds of protesters had marched onto the bridge and had nowhere to go, the police ordered the protesters to "disperse." However, the dispersal orders were not clearly communicated, and the protesters towards the back of the bridge near South Avenue could not hear the dispersal orders.
- 23. When they issued the dispersal orders, there was nowhere for Plaintiff and the other protesters in the front near the police barricades to go, as hundreds of people behind them on the bridge made it physically impossible to immediately comply with the dispersal order.
- 24. Suddenly, without giving the protesters the time or opportunity to disperse—and knowing it was physically impossible for them to comply with the dispersal orders—law enforcement officers began violently attacking protesters. In fact, the RPD Officers began indiscriminately firing pepper balls into the crowd less than 30 seconds after the first "dispersal" order was issued at approximately 10:43 p.m
- 25. When the RPD officers issued dispersal orders at approximately 10:43 p.m., Mr. FITZGERALD was trapped in the front of the bridge, close to the barricades, and it was physically impossible for him to immediately comply and leave the bridge.
- 26. Less than 30 seconds after the dispersal orders were issued, Mr. FITZGERALD was attacked by the RPD Officers and/or Sheriff's Deputies with large amounts of chemical weapons.
- 27. Defendant ROMANO, RPD Officers and Sheriff's Deputies then rushed through the barricades.

28. Defendant ROMANO, RPD Officers and/or Sheriff's Deputies pushed Mr. FITZGERALD and ripped his umbrella from his hands—which he was using as a shield to protect himself against being shot with projectiles.

29. Defendant ROMANO, an RPD Officer and/or a Sheriff's Deputy then immediately shot Mr. FITZGERALD in the face with a pepper ball from point-blank range, causing a deep laceration and permanent scarring:



- 30. At no time did Mr. FITZGERALD commit any crime or violation.
- 31. At no time did Mr. FITZGERALD threaten police in any way.
- 32. The RPD Officers and/or Sheriff's Deputies lacked cause or any justification to shoot Mr. FITZGERALD in the face from point blank range with a pepper ball.

- 33. RPD policy prohibits shooting pepper balls at a person's face or head without justification.
- The RPD officers and/or Sheriff's Deputies "seized" Mr. FITZGERALD under the 34. 4th Amendment when they shot him in the face from close range.
- 35. Defendant ROMANO, an RPD Officer and/or a Sheriff's Deputy shot Mr. FITZGERALD in the face pursuant to the official municipal policies described herein, in retaliation for Mr. FITZGERALD exercising his First Amendment rights to call the RPD to account for its widespread use of violence against people of color.
- 36. As a result of being shot in the face from point blank range by the officers, Mr. FITZGERALD's free speech rights were chilled, as he had to stop protesting on that night and was too fearful to participate in subsequent protests.
 - B. Unlawful Municipal Policies and Negligence of the City And RPD in Failing to Properly Train RPD Officers On The Proper Handling of First Amendment Assemblies, and In Failing to Supervise and Discipline Officers Who Used Excessive Force Against Protesters.
- 37. For months before the body worn camera video of RPD officers brutally killing Daniel Prude was released, the CITY and RPD anticipated and planned for large-scale protests when the video was eventually released.
- 38. From the very beginning, the City and RPD officials zeroed in on the fact that, unlike other protests, these were focused directly on police misconduct and racism. City and RPD officials at the highest levels subscribed to the theory that Black Lives Matter protests are led by a nationwide conspiracy of outside agitators bent on violence.
- 39. This manifested in the training of their officers, both before and since, that nonviolent protestors will stand in front to shield violent protestors who throw objects from behind them, and that not everyone standing with their hands up is peaceful. That training

conveniently justifies and encourages suppressing all protestors by collectively punishing nonviolent ones. The message to their officers was clear: there is no such thing as a peaceful protestor.

- 40. During those several months—from at least June 4, 2020 to September 2, 2020 the CITY and RPD developed a protest response plan that included responding to peaceful protests with extreme violence; using military-grade weapons against protesters; using overwhelming amounts of chemical weapons against groups of protesters, without making individualized determinations that probable cause existed to believe that any individual within the group had committed a crime or violation; and otherwise to retaliate against protesters based on their objection to the message protesters were expressing.
- 41. As part of the unlawful protest response plan, on July 13, 2020, the RPD quietly updated its departmental policy on usage of the PepperBall Launching System ("PLS"). Specifically, the Department amended the policy to allow officers on the patrol division to use the PLS. Prior to this amendment, only officers on the Special Weapons and Tactics ("SWAT") team were eligible to use PLS. The RPD did not update the policy in any other way. This permitted many more RPD officers to use the PLS, including many more officers with known violent propensities who previously would have been ineligible to use these "less lethal" weapons.
- 42. Similarly, as part of the unlawful protest response plan, the CITY implemented an unlawful Curfew on July 15, 2020, which targeted "groups" of five or more people gathered together in quintessential public forums: public streets, sidewalks and parks.
- 43. The Curfew was implemented with the unlawful purpose of deterring and suppressing lawful First Amendment activities of protesters because of the message they were

advocating: calling for greater police accountability, a reallocation of funding from away from police departments and into Black and Latinx communities, the end of police brutality, and a recognition that Black Lives Matter.

- 44. After the unlawful Curfew was immediately challenged in Court, the City admitted that the Curfew was unlawful by refusing to arrest and charge any protesters at the protests that began on September 2, 2020 and thereafter with violation of the Curfew.
- 45. Moreover, after a group of 30 protesters were arrested for challenging the unlawful curfew on the night it was implemented, July 15-16, 2020, the criminal courts dismissed all of the prosecutions against every single person arrested. Thus, the CITY knew prior to the September protests that the Curfew was unlawful, but it nevertheless renewed the Curfew every 30 days, as required by law, in an attempt to deter Black Lives Matter protesters from expressing their First Amendment Rights when the video of RPD officers brutally killing Daniel Prude was eventually dismissed.
- 46. The violations of Mr. FITZGERALD 'S rights are attributable to the City and the RPD's unlawful protest response plan.
- 47. The violations of Mr. FITZGERALD 'S rights are also attributable to the CITY and RPD's disregard of many years of notice, criticism, and other relevant data points, both internal and external, related to its unconstitutional policing of similar peaceful protests and peaceful demonstrations.
- 48. Since at least the 2009, the RPD has failed to appropriately train its officers on the proper handling of First Amendment assemblies, including peaceful protests and lawful demonstrations.

49. Upon information and belief, the core training provided by the CITY related to protest response is based on crowd management and disorder control tactics for policing largescale civil disorders and riots.

- According to the CITY's website, the RPD's Mobile Field Force (MFF) is a 50. "specially trained and equipped team providing a rapid, organized and disciplined response to civil disorder [and] crowd control."
- 51. The MFF was the RPD's primary unit tasked with policing the peaceful protests in the wake of George Floyd and Daniel Prude in May and September 2020, respectively, and on the night of September 4-5, 2020 specifically.
- 52. Upon information and belief, the MFF's training and guidelines treat peaceful protests and peaceful demonstrations as military engagements and copies military tactics and focus on tactics designed to deter, disperse, and demoralize groups, such as disorder control formations and mass use of chemical weapons.
- 53. Such disperse and demoralize tactics have persisted through the present as exemplified by the experiences of Mr. FITZGERALD.
- 54. Upon information and belief, the MFF's "civil disorder" training and guidelines were never meant to be guidelines for the policing of lawful First Amendment assemblies such as demonstrations—only for large-scale civil disorders such as riots.
- 55. However, neither the MFF's "civil disorder" training and guidelines, nor, upon information and belief, any related RPD training, contain meaningful direction on the core First, Fourth, or Fourteenth Amendment principles that must guide constitutional policing of First Amendment assemblies.

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56. For example, upon information and belief, there is virtually no RPD training and certainly no meaningful RPD training—focusing on how to utilize the tactics described in the MFF's "civil disorder" training and guidelines without infringing on the constitutional rights of protesters, such as how to make probable cause determinations or the requirements of providing an alternative avenue of protest, meaningful time and a path of egress when issuing a dispersal order, and the like.

- 57. Many MFF members have histories of engaging in the kinds of misconduct complained of herein, among other places, by CRB complaints, PSS investigations and in lawsuits.
- 58. Examples of the RPD's unreasonable and discriminatory use of force at prior lawful protests include:
 - In October 2009, an anti-war protest in Rochester resulted in several physical confrontations, with two protesters receiving stitches at the hospital after RPD officers pushed them face-first to the ground, and 12 protesters arrested for exercising their First Amendment rights. The peaceful march, held in the early evening, was interrupted by approximately forty RPD vehicles. Within three minutes of giving the order to disperse, RPD officers began to shove and hit protesters with clubs and deploy pepper spray. Protesters described RPD officers wading through the crowd to pick out Black students to arrest. A press videographer who was filming one such arrest was wrestled to the ground by police and himself arrested.
 - In May 2015, Katrina Perkins was protesting police brutality on a public street in a residential neighborhood, where two of her daughters and six of her grandchildren reside. Though Ms. Perkins was peacefully demonstrating, RPD officers violently

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seized and arrested her and then charged her with disorderly conduct and disruption. Those charges were dismissed two months later. Police brutality is a deeply personal issue to Ms. Perkins, as her daughter Lashedica was the 13-year-old shot three times by former-Deputy Chief Simmons in 2005.

- In July 2016, in Rochester as across the nation, people took to the streets to uphold the sanctity of Black lives and call for an end to racist policing. In response, the RPD deployed, beat, shoved, and pepper sprayed protesters. As one described it: "I started to turn and they tackled me to the ground....They're beating citizens for no reason whatsoever. I wasn't doing anything. I was taking pictures." RPD officers, in keeping with their pattern and practice, particularly targeted Black protesters with unlawful force, including Black journalists: Carlet Cleare and Justin Carter of WHAM-TV were both handcuffed and detained, even though Ms. Cleare was wearing a WHAM-TV shirt and they identified themselves as members of the press. Over the course of one weekend, Rochester had more arrests at its BLM protest (74) than the rest of the nation combined.
- 59. Despite the wealth of evidence of RPD members' historical brutality against protesters, Defendant City has ignored, and/or failed to utilize, relevant information, including information gleaned from reports and lawsuits, as well as other data points, to identify deficiencies in RPD training as it relates to constitutionally compliant protest policing.
- 60. In fact, following the 2016 protest, the RPD and Mayor Lovely Warren's office stated the police handled themselves appropriately.

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61. When questioned by public officials after the September 2020 protests, former RPD Chief La'Ron Singletary stated that he did not review the RPD's actions at the 2016 protest in developing the RPD's strategy for responding to protests in 2020.

- The City and RPD's failure to train and improper training led to widespread 62. excessive force at the 2020 protests, as demonstrated by RPD officers body worn camera videos, media reports, and RPD subject resistance reports. However, Based on statements by City Officials and RPD command staff to date, and publicly available information, no RPD officer has reported any fellow officer for their unlawful use of force and no RPD officers have been disciplined for their unlawful use of force on September 2–6, 2020.
- 63. The City and RPD did not simply ratify excessive force through the lack of reporting and discipline. It approved the force during the demonstrations because its policies authorized these excessive levels of force. RPD training on grenadier, MFF, and crowd control tactics, as well as its subject resistance reports, show that tear gas, pepper spray, projectiles, and grenades were supplied by superiors and deployed on their orders pursuant to RPD policies on use for force. There appears to have been no consideration given to whether such force would be excessive to secure compliance with traffic laws or enforce violations or misdemeanors against nonviolent protestors.
- 64. In summary, upon information and belief, the RPD's exclusive focus on deterring, dispersing, and demoralizing in trainings related to policing protests, coupled with the failure to train on specific, relevant aspects of constitutional policing of protests, let alone how to encourage or facilitate protests—despite having received clear notice that RPD policing of protests has caused the systemic violations of protesters' constitutional rights for years—demonstrates the City's negligence in failing to train and supervise RPD Officers in properly and lawfully policing protests

to ensure that protesters' rights under the First Amendment, Fourth Amendment, Fourteenth Amendment, and other, related rights are not violated. As a result of the City's negligence, Plaintiff was injured and harmed, as described herein.

- C. Unlawful Municipal Policies and Negligence of the COUNTY and Sheriff BAXTER in Failing to Properly Train Sheriff's Deputies On The Proper Handling of First Amendment Assemblies, and In Failing to Supervise and Discipline Sheriff's Deputies Who Used Excessive Force Against Protesters.
- 65. Upon information and belief, for months before the body worn camera video of RPD officers brutally killing Daniel Prude was released, the COUNTY and BAXTER coordinated with the CITY and RPD to prepare for and plan their coordinated response to the anticipated large-scale protests when the video was eventually released.
- 66. From the very beginning, the BAXTER and other COUNTY officials zeroed in on the fact that, unlike other protests, these were focused directly on police misconduct and racism. Like the City and RPD officials, BAXTER and other COUNTY officials at the highest levels subscribed to the theory that Black Lives Matter protests are led by a nationwide conspiracy of outside agitators bent on violence.
- 67. This manifested in the training of their Sheriff's Deputies, both before and since, that nonviolent protestors will stand in front to shield violent protestors who throw objects from behind them, and that not everyone standing with their hands up is peaceful. That training conveniently justifies and encourages suppressing all protestors by collectively punishing nonviolent ones. The message to their Sheriff's Deputies was clear: there is no such thing as a peaceful protestor.
- 68. Upon information and belief, during those several months—from at least June 4, 2020 to September 2, 2020—the CITY and RPD coordinated with the COUNTY and BAXTER to develop a coordinated protest response plan that included responding to peaceful protests with

extreme violence; using military-grade weapons against protesters; using overwhelming amounts of chemical weapons against groups of protesters, without making individualized determinations that probable cause existed to believe that any individual within the group had committed a crime or violation; and otherwise to retaliate against protesters based on their objection to the message protesters were expressing

- 69. Prior to September 2020, the COUNTY and BAXTER had received clear notice that peaceful protests and lawful demonstrations have occurred and will continue to occur in Monroe County, and that without proper training, his Deputy Sheriffs would violate individuals' constitutional rights and endanger the life and safety of protesters, such as Plaintiff.
- 70. The COUNTY and BAXTER deliberately disregarded the fact that peaceful protests and peaceful demonstrations have occurred and will continue to occur in Monroe County, and instead has trained his Deputies that such lawful First Amendment activities constitute "civil disturbances" that mut be policed in the same manner as "violent mobs" or "riots."
- 71. The COUNTY and BAXTER, upon information and belief, took no steps to train Sheriff's Deputies on lawfully policing protests and other First Amendment activities.
- 72. Instead, the COUNTY and BAXTER, pursuant to the County's Hazard Mitigation Plan, trained Sheriff's Deputies that a "civil disturbance" is defined as both "peaceful demonstrations or acts of violence."
- 73. Upon information and belief, the Hazard Mitigation Plan was in full force and effect at all times relevant herein.
- 74. Upon information and belief, prior to 2020 and at all times relevant herein, the COUNTY and BAXTER had implemented the Hazard Mitigation Plan, and trained Sheriff's

Deputies in accordance with its mandates. Thus, the COUNTY and BAXTER explicitly conflate peaceful protests and peaceful demonstration with violent riots.

- 75. Upon information and belief, the COUNTY and BAXTER did not provide any training to Sheriff's Deputies on drawing a meaningful distinction between "peaceful demonstrations" and "violent mobs". For example, in its "Hazard Mitigation Plan," the County states that, "Many civil unrest incidents are spontaneous and can occur at any time, rendering prediction of probability of future occurrences difficult. When these incidents occur, they can become extremely disruptive and difficult to control. Assumedly, civil unrest incidents including marches, protests, demonstrations, and gatherings will continue to occur throughout Monroe County."
- 76. According to the Hazard Mitigation Plan, peaceful protests and demonstrations are discouraged because of the perceived negative impacts on property resources, real estate and the economy; again, this is a result of the COUNTY and BAXTER falsely conflating "peaceful demonstrations" and peaceful protests with "acts of violence."
- 77. Upon information and belief, the COUNTY and BAXTER do not provide any training to Sheriff's Deputies on how to encourage and support individuals engaging in "peaceful demonstrations" to ensure that their constitutional rights are not violated by law enforcement officers.
- 78. Upon information and belief, the COUNTY and BAXTER do not provide any training to Sheriff's Deputies on making a meaningful distinction between how Sheriff's Deputies are trained and instructed on policing "peaceful demonstrations", "peaceful protests" versus "violent mobs" and riots.

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79. Instead, the Hazard Mitigation Plan states that, "[m]any protests intended to be peaceful demonstrations to the public and the government can escalate into general chaos." Thus, upon information and belief, the COUNTY and BAXTER train Sheriff's Deputies to police peaceful demonstrations in the same manner as they would a violent mob.

- 80. Like the RPD, upon information and belief, BAXTER trains Sheriff's Deputies exclusively on deterring, dispersing, and demoralizing protests and peaceful demonstrations.
- 81. In June 2020, several Monroe County Legislators called on BAXTER to implement new protest training to ensure the safety of protesters at Black Lives Matter demonstrations. The legislators drafted a letter to County Executive Adam Bello and BAXTER in which they made a number of requests related to public safety and the safety of protesters, and closed by stating, "Once again, we believe the safety of both protesters, motorists, and law enforcement is of the utmost importance. Right here in Monroe County and across the Nation, we have seen the negative results when leaders are reactionary rather than proactive. Please be sure that a plan is in place to ensure the mutual safety of all involved."
- 82. BAXTER claimed the letter was a "political stunt" and refused to provide any specific details regarding how Sheriff's Deputies would ensure the safety of protesters during peaceful demonstrations
- 83. As a result of the COUNTY's unlawful policies, practices and customs, and BAXTER's negligence, Plaintiff was injured and harmed, as described herein.

V. CLAIMS FOR RELIEF

FIRST CLAIM FOR RELIEF: Municipal Liability

Pursuant to 42 U.S.C. § 1983 for Defendants' Violations of Plaintiff's First, Fourth and Fourteenth Amendment Rights (Against the CITY)

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84. All preceding and subsequent paragraphs are incorporated by reference.

85. All of the wrongful acts or omissions complained of herein against Plaintiff and other protesters were carried out by the individually named and unnamed RPD officers pursuant to: (a) formal policies, rules, and procedures of Defendant CITY; (b) actions and decisions by Defendant CITY's policymaking agents; (c) customs, practices, and usage of the RPD that are so widespread and pervasive as to constitute *de facto* policies accepted, encouraged, condoned, ratified, sanctioned, and/or enforced by CITY policymaking officials; (d) Defendant CITY's deliberate indifference to Plaintiffs' rights secured by the First, Fourth, and Fourteenth Amendments of the United States Constitution, as evidenced by the CITY's failures, and the failures of the other policymaking agents, to train, supervise, and discipline RPD officers, despite full knowledge of the their wrongful acts against Plaintiff and other protesters, as described herein.

86. As a result of the foregoing, Plaintiff suffered injuries and damages.

SECOND CLAIM FOR RELIEF

Pursuant to 42 U.S.C. § 1983 for Defendants' Violations of Plaintiff's First, Fourth and Fourteenth Amendment Rights
(Against the COUNTY and BAXTER)

- 87. All preceding and subsequent paragraphs are incorporated by reference.
- 88. All of the wrongful acts or omissions complained of herein against Plaintiff and other protesters were carried out by the individually named and unnamed MCSO employees and/or Sheriff's Deputies pursuant to: (a) formal policies, rules, and procedures of Defendants COUNTY and BAXTER; (b) actions and decisions by policymaking agents of the COUNTY and MCSO, including, but not limited to, Defendant BAXTER; (c) customs, practices, and usage of the MCSO that are so widespread and pervasive as to constitute de facto policies accepted,

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encouraged, condoned, ratified, sanctioned, and/or enforced by Defendants COUNTY, MCSO and BAXTER, and other policymaking officials; (d) Defendant COUNTY, MCSO and BAXTER's deliberate indifference to Plaintiffs' rights secured by the First, Fourth, and Fourteenth Amendments of the United States Constitution, as evidenced by their failures, and the failures of the other policymaking agents, to train, supervise, and discipline MCSO employees and/or Sheriff's Deputies, despite full knowledge of their wrongful acts Plaintiffs and other protesters, as described herein.

As a result of the foregoing, Plaintiff suffered injuries and damages. 89.

THIRD CLAIM FOR RELIEF

Excessive Force Pursuant to 42 U.S.C. § 1983

(Against: ROMANO, JOHN DOE RPD OFFICERS and RICHARD ROE **SHERIFF'S DEPUTIES)**

- 90. All preceding and subsequent paragraphs are incorporated by reference.
- 91. Defendants' actions towards Plaintiff constitutes excessive force in violation of 4th and 14th Amendments of the United States Constitution and 42 U.S.C. § 1983.
- 92. The force used against Mr. FITZGERALD constitutes a seizure under the 4th Amendment.
- 93. Defendants used force against Mr. FITZGERALD that was unjustified and objectively unreasonable, taking into consideration the facts and circumstances that confronted them.
- 94. It was objectively unreasonable for the ROMANO, an RPD officer and/or Sheriff's Deputy to shoot Plaintiff with a pepper ball in the face from point blank range on September 4, 2020.

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95. It was objectively unreasonable for the Defendants to use military grade weapons, "less-than-lethal" weapons, and chemical weapons against Mr. FITZGERALD on September 4, 2020, without first having made an individualized determination that it was reasonable to use any force Mr. FITZGERALD based on his own individual conduct, instead of any perceived "group conduct."

- 96. The types and levels of force Defendants used against Plaintiff were in contravention of, or inconsistent with, related RPD policies and/or training.
- 97. The types and levels of force Defendants used against Plaintiff were in contravention of, or inconsistent with, best practices, good and accepted police practices and/or training.
- 98. As a result of the acts and omissions of the RPD officers and/or Sheriff's Deputies, Defendants deprived Plaintiff of federal, state, and/or other legal rights; caused Plaintiff bodily injury, pain, suffering, psychological and/or emotional injury, and/or humiliation; caused Plaintiff to expend costs and expenses; and/or otherwise damaged and injured Plaintiff.
- 99. The actions of the RPD officers were willful, malicious, oppressive, and/or reckless, and was of such a nature that punitive damages should be imposed.
 - 100. As a result of the foregoing, Plaintiff suffered injuries and damages.

FOURTH CLAIM FOR RELIEF: **Assault and Battery**

- 101. All preceding and subsequent paragraphs are incorporated by reference.
- ROMANO, RPD officers and/or Sheriff's Deputies battered Mr. FITZGERALD 102. by subjecting him to various chemical weapons.
- ROMANO, RPD officers and/or Sheriff's Deputies seized Mr. FITZGERALD, 103. forcibly removed his umbrella—which he was using as a shield to protect against being shot with

projectiles by law enforcement—and shot him in the face from point blank range with a pepper ball.

- 104. Mr. FITZGERALD was not threatening the law enforcement officers or any other person at any time.
 - Mr. FITZGERALD was not committing any crime or violation. 105.
- 106. By the aforedescribed conduct, Defendants, their agents, servants and employees, acting within the scope of their employment, intentionally, willfully and maliciously battered Plaintiff Mr. FITZGERALD, when they, in a hostile and/or offensive manner struck Plaintiff without his consent and with the intention of causing harmful and/or offensive bodily contact to the Plaintiff and caused such battery.
- 107. The RPD Officers were at all times agents, servants, and employees acting within the scope of their employment by the Defendant CITY and the RPD, which are therefore responsible for their conduct.
- 108. The Defendant CITY, as the employer of the individual RPD Defendants, is responsible for their wrongdoing under the doctrine of respondeat superior.
- 109. At no point during the incidents described herein did the circumstances necessitate or support the above applications of force utilized by the Defendant RPD officers and/or Sheriff's Deputies against Plaintiff.
- 110. The actions of the RPD officers were willful, malicious, oppressive, and/or reckless, and was of such a nature that punitive damages should be imposed.
- As a result, Plaintiff sustained damages in an amount in excess of the jurisdictional 111. limits of all the lower Courts of the State of New York.
 - 112. All preceding and subsequent paragraphs are incorporated by reference.

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FIFTH CLAIM FOR RELIEF

First Amendment Infringements, Including First Amendment Retaliation Pursuant to 42 U.S.C. § 1983

113. In committing the acts and omissions complained of herein, Defendants acted under color of state law—individually, in concert, and through a conspiracy—to deprive Plaintiff of the rights protected by the First Amendment to the United States Constitution.

- 114. Defendants (a) retaliated against Plaintiff for engaging in speech and/or conduct protected by the First Amendment, and (b) discriminated against Plaintiff based on the viewpoint that she and other protesters were expressing; and (c) imposed restrictions on such protected speech and/or conduct that violated Plaintiff's First Amendment rights, including, but not limited to subjecting Plaintiff to excessive force, in arresting and prosecuting plaintiff, in selectively enforcing laws and regulations against Plaintiff, and in otherwise violating Plaintiff's rights and engaging in the acts and omissions complained of herein.
- Pursuant to the unlawful policies and practices described herein, Defendants 115. engaged in those and other acts and omissions complained of herein in retaliation for Plaintiff's perceived protected speech and/or conduct.
- 116. Defendants engaged in those and other acts and omissions complained of herein in retaliation for the viewpoint Plaintiff was expressing.
- 117. Defendants engaged in the acts and omissions complained of herein in order to prevent Plaintiff from continuing to engage in such protected speech and/or conduct.
- 118. Defendants engaged in the acts and omissions complained of herein in order to prevent and/or discourage Plaintiff from engaging in similar protected conduct in the future.

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Mr. FITZGERALD's First Amendment Rights were violated, and her speech was 119. curbed and hindered, as a result of being assaulted, battered, subjected to excessive force and falsely arrested by Defendants.

- Defendants' unlawful actions towards Mr. FITZGERALD were motivated by the 120. message she was expressing: calling for greater police accountability, a reallocation of funding from away from police departments and into Black and Latinx communities, the end of police brutality, and a recognition that Black Lives Matter.
- Defendants' actions effectively chilled and deterred Mr. FITZGERALD from 121. exercising her First Amendment Rights, as he was prevented from further protesting on the night of September 4-5, 2020 as a result of being attacked with chemical weapons; and was chilled and deterred from engaging in future protests, for fear of being subjected to similar unlawful actions by law enforcement.
- 122. The unlawful conduct of the individual defendants was willful, malicious, oppressive, and/or reckless, and was of such a nature that punitive damages should be imposed against them.
 - As a result of the foregoing, Plaintiff suffered injuries and damages 123.

SIXTH CLAIM FOR RELIEF

Failure To Intervene Pursuant to 42 U.S.C. § 1983

- 124. All preceding and subsequent paragraphs are incorporated by reference.
- The individual defendants all had an affirmative duty to intervene on Plaintiff's 125. behalf to prevent the violation of her constitutional rights by the other Defendant RPD officers and Sheriff's Deputies.

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BAXTER had a duty to intervene to stop and/or prevent the City and the RPD 126. from using teargas, pepper balls, and other chemical weapons against protesters.

- BAXTER and the other individual defendants failed to intervene on Plaintiff's 127. behalf despite having had realistic opportunities to do so.
- 128. Baxter and the other individual defendants failed to intervene on Plaintiff's behalf despite having substantially contributed to the circumstances within which Plaintiff's rights were violated by their affirmative conduct.
- As a result of the aforementioned conduct of BAXTER and the individual 129. defendants, Plaintiff's constitutional rights were violated.
- 130. Defendant' actions were willful, malicious, oppressive, and/or reckless, and was of such a nature that punitive damages should be imposed.
 - 131. As a result of the foregoing, Plaintiff suffered injuries and damages.

SEVENTH CLAIM FOR RELIEF

Negligent Training, Supervision and Discipline (Against BAXTER)

- 132. All preceding and subsequent paragraphs are incorporated by reference.
- Defendant BAXTER was negligent in the training, supervision and discipline of 133. the Defendant Sheriff's Deputies, who were provided, upon information and belief, no training for policing protests, engaging in peaceful crowd control, or how to properly and safely use the "less lethal" weapons.
- 134. Alternatively, the training BAXTER provided to the Sheriff's Deputies was inadequate.
- 135. Moreover, despite their use of extreme and excessive violence against protesters on September 2-6, 2020, BAXTER was negligent in failing to supervise or discipline any of his

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Sheriff's Deputies related to any force used protesters on those nights prior to Plaintiff's injury. BAXTER's negligence was the direct and proximate cause of Plaintiff's injuries.

- BAXTER's negligence in failing to supervise and discipline his Sheriff's 136. Deputies was the direct and proximate cause of Plaintiff's injuries.
 - As a result of the foregoing, Plaintiff suffered injuries and damages. 137.

EIGHTH CLAIM FOR RELIEF

Negligent Planning of the Protest Response (Against BAXTER)

- 138. All preceding and subsequent paragraphs are incorporated by reference.
- Defendant BAXTER was negligent in planning the response of his Sheriff's 139. Deputies to the protests.
- 140. BAXTER had a special duty to ensure that the rights of Plaintiff and other protesters to free speech, expression and to assemble under the First Amendment to the United States Constitution, and Article I, section 8 of the New York State Constitution, were not violated, and that protesters were not assaulted, battered, subjected to excessive force and/or falsely arrested by law enforcement.
- 141. BAXTER breached his duty to keep demonstrators safe by, among other things, implementing a protest response plan that instructed his Sheriff's Deputies that Black Lives Matter protests are led by a nationwide conspiracy of outside agitators bent on violence; this manifested in the training of Sheriff's Deputies that what seem to be peaceful demonstrators are a shield deliberately sent to the front lines to screen the throwing of objects from the rear. That training conveniently justifies and encourages suppressing all protestors by collectively punishing nonviolent ones. The message to their officers was clear: there is no such thing as a peaceful protestor.

BAXTER breached his duty to Plaintiff and other protesters by implementing a 142. protest response plan that violated the rights to free speech, expression and to assemble.

- 143. BAXTER breached his duty to Plaintiff and other protesters by implementing a protest response plan that conflated peaceful protests and demonstrations with violent mobs and riots; thus, BAXTER knew or should have known that in the absence of a proper protest response plan, his Sheriff's Deputies would use unreasonable and excessive force against peaceful demonstrators, like Plaintiff.
- 144. BAXTER breached his duty to Plaintiff and other protesters by implementing a protest response plan that instructed his Sheriff's Deputies to use chemical weapons against protesters; however, based on national news reports in the months before the September protests, BAXTER knew or should have known that exposure to chemical weapons such as pepper balls, tear gas and OC spray can cause serious adverse health effects, including menstrual irregularities.
- 145. BAXTER breached his duty to keep demonstrators safe by, among other things, implementing a protest response plan that instructed his Sheriff's Deputies to police peaceful demonstrations in the same manner as they would police violent mobs.
- 146. BAXTER breached his duty to keep demonstrators safe by, among other things, implementing a protest response plan that instructed his Sheriff's Deputies to use chemical weapons indiscriminately against protesters.
- 147. BAXTER breached his duty to keep demonstrators safe by, among other things, implementing a protest response plan that instructed his Sheriff's Deputies to that they could shoot pepper balls and other "less-than-lethal" projectiles at protesters' heads.

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148. BAXTER breached his duty to keep demonstrators safe by, among other things, implementing a protest response plan that instructed his Sheriff's Deputies to use "less-thanlethal" weapons and chemical weapons against "groups" of protesters based on perceived "group conduct", without making any individualized determination that they were legally justified to use force against any individual in the perceived "group."

- 149. Plaintiff's injuries were a direct and proximate result BAXTER negligently planning the response to the protests.
 - 150. As a result of the foregoing, Plaintiff suffered injuries and damages.

NINTH CLAIM FOR RELIEF

Negligent Training, Supervision and Discipline (Against the CITY)

- 151. All preceding and subsequent paragraphs are incorporated by reference.
- 152. The CITY and RPD were negligent in the training, supervision and discipline of the RPD officers, who were provided, upon information and belief, no training for policing protests, engaging in peaceful crowd control, or how to properly and safely use the "less lethal" weapons.
 - 153. Alternatively, the training the CITY provided to the RPD officers was inadequate.
- 154. Moreover, despite their use of extreme and excessive violence against protesters on September 2-6, 2020, the CITY was negligent in failing to supervise or discipline any of the RPD officers related to any force used protesters on those nights prior to Plaintiff's injury. The City's negligence was the direct and proximate cause of Plaintiff's injuries.
 - 155. As a result of the foregoing, Plaintiff suffered injuries and damages

TENTH CLAIM FOR RELIEF

Negligent Planning of the Protest Response (Against the CITY)

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156. All preceding and subsequent paragraphs are incorporated by reference.

- The CITY and RPD were negligent in planning the response to the protests. 157.
- 158. The CITY had a special duty to ensure that the rights of Plaintiff and other protesters to free speech, expression and to assemble under First Amendment to the United States Constitution and Article I, section 8 of the New York State Constitution were not violated, and that protesters were not assaulted, battered, subjected to excessive force and/or falsely arrested by law enforcement.
- For months before the body worn camera video of RPD officers brutally killing Daniel Prude was released, the CITY and RPD anticipated and planned for large-scale protests when the video was eventually released. During those several months—from at least June 4, 2020 to September 2, 2020—the CITY and RPD developed a protest response plan that included responding to peaceful protests with extreme violence; using military-grade weapons against protesters; using overwhelming amounts of chemical weapons against groups of protesters, without making individualized determinations that probable cause existed to believe that any individual within the group had committed a crime or violation; and otherwise to retaliate against protesters based on their objection to the message protesters were expressing.
- 160. The CITY and RPD breached its duty to Plaintiff and other protesters by failing to implement any plan whatsoever for how to ensure that RPD officers protected and promoted protesters rights to free speech, expression and to assemble under First Amendment to the United States Constitution and Article I, section 8 of the New York State Constitution.
- 161. The City and RPD breached its duty to keep demonstrators safe by, among other things, implementing a protest response plan that instructed RPD officers that Black Lives Matter protests are led by a nationwide conspiracy of outside agitators bent on violence; this

manifested in the training of RPD officers that what seem to be peaceful demonstrators are a shield deliberately sent to the front lines to screen the throwing of objects from the rear. That training conveniently justifies and encourages suppressing all protestors by collectively punishing nonviolent ones. The message to their officers was clear: there is no such thing as a peaceful protestor.

- 162. The CITY and RPD breached its duty to Plaintiff and other protesters by implementing a protest response plan that affirmatively violated protesters' rights to free speech, expression and to assemble under First Amendment to the United States Constitution and Article I, section 8 of the New York State Constitution.
- The CITY and RPD breached its duty to Plaintiff and other protesters by 163. implementing a protest response plan that instructed its officers to use extreme violence, militarized police tactics, military-grade weapons, and chemical weapons against protesters.
- 164. The CITY knew or should have known that its protest plan was unlawful and that implementation of its plan would cause protesters rights to free speech, expression and to assemble under First Amendment to the United States Constitution and Article I, section 8 of the New York State Constitution to be violated; and that RPD officers and other law enforcement officers would cause serious injuries to protesters.
- 165. The CITY and RPD knew that at past protests, numerous RPD officers had seriously injured peaceful demonstrators; and that in the absence of a proper protest response plan, RPD officers would use unreasonable and excessive force against peaceful demonstrators and falsely arrest them.
- 166. The CITY knew or should have known that exposure to chemical weapons such as pepper balls, tear gas and OC spray can cause serious adverse health effects, such as menstrual

irregularities—as same had been widely reported around the county in the months prior to the RPD's use of said chemical weapons in September 2020.

- 167. The CITY breached his duty to keep demonstrators safe by, among other things, failing to implement a lawful protest response plan, and instead training RPD officers to police peaceful demonstrations in the same manner as they would police violent mobs or riots.
- 168. The CITY breached its duty to keep demonstrators safe by implementing a protest response plan which, among other things, instructed RPD officers to use chemical weapons against protesters in the absence of individualized probable cause – despite knowing of the serious adverse health effects such chemical weapons would cause.
- 169. The CITY breached its duty to keep demonstrators safe by, among other things, implementing a protest response plan that instructed RPD officers to use "less-than-lethal" weapons and chemical weapons against "groups" of protesters based on perceived "group conduct", without making any individualized determination that they were legally justified to use force against any individual in the perceived "group."
- 170. The CITY breached his duty to keep demonstrators safe by, among other things, implementing a protest response plan that failed to properly instruct RPD officers on their duty to intervene to prevent the violation of protesters rights by other RPD officers, Sheriff's Deputies, and/or other law enforcement officials.
- 171. The CITY breached his duty to keep demonstrators safe by implementing a protest response plan that caused the violation of Plaintiff's rights in all other ways detailed herein.
- 172. Plaintiff's injuries were a direct and proximate result of the CITY's implementation of its negligent a protest response plan.

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173. As a result of the foregoing, Plaintiff suffered injuries and damages.

ELEVENTH CLAIM FOR RELIEF

Negligence

(Against the Individual Defendants)

- 174. All preceding and subsequent paragraphs are incorporated by reference.
- 175. The Defendant RPD officers and Sheriff's Deputies, their agents, servants, employees, officers and deputies were negligent using the "less lethal" military grade weapons and chemical weapons against Plaintiff, which was the direct and proximate cause of Plaintiff's injuries.
- 176. The Defendant RPD officers and Sheriff's Deputies had a duty to permit the protesters to engage in First Amendment Activities and to protect them from harm or physical violence.
- The Defendant RPD officers and Sheriff's Deputies had a duty to not use force 177. against any individual protester in the absence of individualized cause or legal justification for the use of force.
- 178. The Defendant RPD officers and Sheriff's Deputies breached that duty by firing "less lethal" munitions and chemical weapons into "groups" of protesters based on perceived "group conduct," without making any individualized determination that they were legally justified to use force against any individual in the perceived "group."
- The breach of that duty by the Defendant RPD officers and Sheriff's Deputies 179. was the direct and proximately cause of Mr. FITZGERALD 's serious injuries described herein.
- 180. Defendant RPD officers and/or Sheriff's Deputies' actions were willful, malicious, oppressive, and/or reckless, and was of such a nature that punitive damages should be imposed.

As a result, Mr. FITZGERALD sustained damages in an amount in excess of the jurisdictional limits of all the lower Courts of the State of New York.

WHEREFORE and in light of the foregoing, Plaintiff demands judgment on all claims for relief:

- Empanel a jury; a.
- b. Award compensatory and punitive damages;
- The Plaintiff demands the foregoing relief jointly and severally against all of c. the defendants in an amount in excess of the jurisdiction of all lower Courts, except that the punitive damages demands are, as a matter of law, not recoverable against a municipality and therefore are not made against the City;
- d. Award Plaintiff reasonable attorney's fees and costs, and interest pursuant to 42 U.S.C. § 1988; and
- Such other and further relief as the court may deem just and proper. e.

Dated: New York, New York January 15, 2022

Respectfully Submitted, Roth & Roth, LLP.

~//s//~

Elliot Dolby Shields Co-counsel for Plaintiffs 192 Lexington Avenue, Suite 802 New York, New York 10024 (212) 425-1020

Easton Thompson Kasperek Shiffrin LLP Donald Thompson Co-counsel for Plaintiffs 16 West Main Street, Suite 243 Rochester, New York 14614 Ph: (585) 423-8290

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ATTORNEY'S VERIFICATION

ELLIOT DOLBY SHIELDS, an attorney duly admitted to practice before the Courts of

the State of New York, affirms the following to be true under the penalties of perjury:

I am associated with Roth & Roth, LLP, attorneys for the Plaintiff, I have read the annexed

VERIFIED AMENDED COMPLAINT and know the contents thereof, based on the files

maintained in my office, and the same are true to my knowledge, except those matters therein which

are stated to be alleged upon information and belief, and as to those matters I believe them to be true.

My belief, as to those matters therein not stated upon knowledge, is based upon facts, records, and

other pertinent information contained in my files. This verification is made by me and not the

Plaintiff because I maintain my office in a different county from where the Plaintiff resides.

DATED: New York, New York January 15, 2022

~//s//~

ELLIOT DOLBY SHIELDS

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